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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEJC853 U.S. PTO
09/22/00

Please type a plus sign (+) inside this box → +

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	042390.P8628X
First Inventor or Application Identifier	Carl M. Ellison
Title	Managing a Secure Environment Using a Chipset in Isolated Execution Mode
Express Mail Label No.	EL466331468US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification [Total Pages 28]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages 12]
 - a. Newly executed (original copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO - 1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. *Small Entity Statement(s) Statement filed in prior application, Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

C825 U.S. PTO
09/668408

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/540,613

Prior application Information: Examiner _____ Not Assigned Group/Art Unit: _____

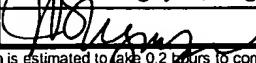
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
Address	12400 Wilshire Boulevard, Seventh Floor				
City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(714) 557-3800	Fax	(714) 557-3347

Name (Print/Type) Thinh V. Nguyen, Reg. No. 42,034

Signature 

Date 09/22/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please type a plus sign (+) inside this box +

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

*Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT **(\\$)** **1,528.00**

Complete if Known

Application Number	
Filing Date	September 22, 2000
First Named Inventor	Carl M. Ellison
Examiner Name	Not Assigned
Group/Art Unit	
Attorney Docket No.	042390.P8628X

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees to:
 The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
101	690	201	345	Utility filing fee	\$690.00	
106	310	206	155	Design filing fee		
107	480	207	240	Plant filing fee		
108	690	208	345	Reissue filing fee		
114	150	214	75	Provisional filing fee		
SUBTOTAL (1)		(\\$)		690.00		

2. EXTRA CLAIM FEES

Total Claims	60	-	20	=	40	X	18.00	=	\$720.00	Extra Claims	Fee from below	Fee Paid
Independent Claims	4	-	3	=	1	X	78.00	=	\$78.00			
Multiple Dependent												

*** or number previously paid, if greater. For Reissues, see below*

Large Entity Small Entity

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
103	18	203	9	Claims in excess of 20		
102	78	202	39	Independent claims in excess of 3		
104	260	204	130	Multiple Dependent claim, if not paid		
109	78	209	39	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)		(\\$)		798.00		

3. ADDITIONAL FEE

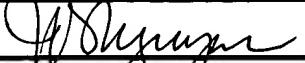
Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for response within first month		
116	380	216	190	Extension for response within second month		
117	870	217	435	Extension for response within third month		
118	1,210	218	680	Extension for response within fourth month		
128	1,850	228	925	Extension for response within fifth month		
119	300	219	150	Notice of Appeal		
120	300	220	150	Filing a brief in support of an appeal		
121	260	221	130	Request for oral hearing		
138	1,510	138	1510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,210	241	605	Petition to revive - unintentional		
142	1,210	242	605	Utility issue fee (or reissue)		
143	430	243	215	Design issue fee		
144	580	244	290	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Petitions related to provisional applications		
126	240	126	240	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))		
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify)						
Other fee (specify)						

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\\$)** **40.00**

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Thinh V. Nguyen	Reg. Number	42,034
Signature		Date	09/22/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Assistant Commissioner
 for Patents
 Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
 For: INFORMATION-SIGNAL RECORDING AND
 REPRODUCING APPARATUS
 Inventor(s): Yasuhiro UEKI
 Attorney Docket: 0102/0137

Sir:

Attached hereto is the application identified above, including 214 pages of textual specification including 39 claims, and 31 sheets of drawings.

The Government filing fee is calculated as follows:

	(Col 1)	(Col 2)	(Col 3)	SMALL ENTITY	NON-SMALL ENTITY
	NO. FILED		NO. EXTRA	RATE	RATE
TOTAL	39	minus	20	19	x9= \$342
INDEP	23	minus	3	20	x39= \$1560
	<u>_ First Presentation, Multiple Dependent Claims</u>			+130= \$	+260= \$
	<u>Base Filing Fee</u>			\$345	\$690
TOTAL FILING FEE' (accounting for possible small entity status)				\$	OR TOTAL \$2592

[] Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:
 is filed herewith;
 will be filed at a later date;
 was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from the following patent applications:

Japanese Patent Application No. 11-272021 filed Sept. 27, 1999
 Japanese Patent Application No. 11-303920 filed Oct. 26, 1999
 Japanese Patent Application No. 11-326519 filed Nov. 17, 1999
 Japanese Patent Application No. 2000-18436 filed Jan. 27, 2000
 Japanese Patent Application No. 2000-97418 filed Mar. 31, 2000

Priority document(s) will be submitted at a later date.
 Priority document(s) is/are submitted herewith.

There is no claim to foreign priority under 35 U.S.C. § 119.

Executed Declaration(s) is/are submitted herewith.

Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

Formal drawing(s) is/are attached.

Formal drawing(s) will be submitted at a later date.

An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.

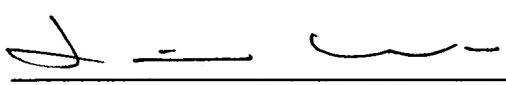
Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.

A check in the amount of \$2,632.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.

No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.

The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
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Arlington, Virginia 22209
Phone: (703) 522-8872

Date: Sept 20 2000